/	0	۱ ۲	i.	श्री
19	OCT	31	2003	CE G
V		PADI	AL PH	<u>*/</u>

Docket No. TRANSMITTAL LETTER (General - Patent Pending) **RADME-64498** In Re Application Of: Machold et al. Group Art Unit Serial No. Filing Date Examiner 07/25/2003 Not Yet Assigned Not Yet Assigned 10/627,487 Title: DISPOSABLE CASSETTE FOR INTRAVENOUS HEAT EXCHANGE CATHETER TO THE COMMISSIONER FOR PATENTS: Transmitted herewith is: /are **Information Disclosure Statement** Modified Form 1449A Receipt Card in the above identified application. No additional fee is required. A check in the amount of is attached. 06-2425 □ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Dated: October 24, 2003 John K. Fitzgerald, Reg. No. 38,881

FULWIDER PATTON LEE & UTECHT, LLP

Howard Hughes Center

6060 Center Drive, Tenth Floor

Los Angeles, CA 90045

310-824-5555 - Telephone

310-824-9696 - Facsimile

Customer No. 24,201

I certify that this document and fee is being deposited on 10/24/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

John K. Fitzgerald

Typed or Printed Name of Person Mailing Correspondence

cc:



I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia

22313-1450 on October 24, 2003

Fitzgerald.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Inventor: Machold et al.

Serial No. 10/627,487

Filed: 07/25/2003

For: DISPOSABLE CASSETTE FOR INTRAVENOUS HEAT EXCHANGE

CATHETER

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Client ID/Matter No. RADME-64498

October 24, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The documents listed on the enclosed Modified Form PTO-1499A/PTO have come to the attention of the applicants and are submitted to the Office under 37 C.F.R. §1.97. Copies of the references cited were previously submitted or cited in co-pending parent application having U.S. Serial No. 09/563,946 filed May 2, 2000.

Applicants respectfully request that the foregoing citations be considered and made of record. It is respectfully requested that the examiner indicate consideration of the cited references by returning a copy of the attached Modified Form 1499A/PTO with initials and/or other appropriate marks.

Because this Information Disclosure Statement is filed prior to issuance of the first Office action on the merits, no fee is required. The Commissioner is authorized, however, to charge any fees that may be required by this paper to Deposit Account No. 06-2425.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

Rv.

John K. Fitzgerald

Registration No. 38,881

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045

Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201

Approved for use through 07/31/2003 OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

3 1 2003

bstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/627,487 Application Number July 25, 2003 Filing Date Machold et al. First Named Inventor Not Yet Assigned Art Unit Not Yet Assigned **Examiner Name** RADME-64498 Attorney Docket Number

(use as many sheets as necessary) Sheet 1 of 2

Sheet	1		OI 2		Attorney Dock		KADME-04498		
				U.S. PA	TENT DOCUM	IENTS			
Examiner Initials*	Cite No ¹	Number Code ²	Kind (if known)		cation Date DD-YYYY	Name of P Applicant of Ci	atentee or	Pages, Columns, Lines, V Relevant Passages or Rel Figures Appear	
		3,369,		02/20/	1968	Armao			
		4,111,	209	09/05/	1978	Wolvek, et	al.		
		4,459,4	468	07/10/	1984	Bailey			
		4,767,	289	08/30/	1988	Parrot et al.			
		4,919,	134	04/24/	1990	Streeter			-
		4,941,4		07/17/		Williams et	al.		
		4,960,		10/02/		Urso			
		4,962,		10/16/		Golden			
		5,097,		03/24/1992		Quisenberry			
		5,174,2		12/29/		Fontenot			
		5,211,0		05/18/		Sheaff			
		5,330,		07/19/		Mason et a			
		5,342,		08/30/		Montoya et			
	ļ	5,344,4		09/06/		Fontenot et			
		5,387,0		02/07/		Knapp et al			
		5,476,		12/1995		Rabenau et al.		····	
		5,514,094		05/07/1996		Anello et al.			
	ļ	5,624,		04/29/1997		Saab			
	ļ	5,645,531 5,716,386		07/08/1997 02/10/1998		Thompson et al. Ward et al.			
		5,733,		03/31/1998 11/17/1998 FOREIGN PATENT DOC		Neilson et al. Ginsburg			
	<u></u>	5,837,0							
				FUREIGN	PATENT DUC	UMENIS		Pages, Columns,	
Examiner Initials*	Cite No ¹	Office ³	Foreign Patent Document Number ⁴	Kind ⁵ (if known)	Publication Date MM-DD-YYYY		entee or Applicant of d Document	Lines Where Relevant Passages or Relevant Figures Appear	Т"
			GB 2312736		11/05/1997	7 Spembly Limited	Medical		
			WO 00/09054		02/24/2000		nc	-	
	1		WO 00/09034 WO 00/10494		03/02/2000		Medical, Inc.		
			WO 00/10494 WO 00/48670	-	08/24/2000		orporation	+	_
		 	WO 00/48070 WO 00/66053	<u> </u>	11/09/2000				
	1	I	11 0 00/00033	<u> </u>	11/09/2000 Alsius Corporation				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicants's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Stordard ST 3). ⁴ For Inspector potent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Stordard ST 3). ⁴ For Inspector potent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Stordard ST 3). ⁴ For Inspector potent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Stordard ST 3). ⁴ For Inspector potent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Stordard ST 3). ⁴ For Inspector potent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Stordard ST 3). ⁴ For Inspector potent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, ST 3). ⁴ For Inspector potent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document of the stordard Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Date

Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Examiner

Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Complete if Known Substitute for form 1449A/PTO 10/627,487 Application Number July 25, 2003 Filing Date INFORMATION DISCLOSURE Machold et al. First Named Inventor STATEMENT BY APPLICANT Art Unit Not Yet Assigned Not Yet Assigned **Examiner Name** (use as many sheets as necessary)

		sc as many si		liccessary)		F					
Sheet	2		of	2		Attorney D		mber	RADME-64498		
					U.S. PAT	ENT DOCU	MENTS				
Examiner Initials*	r Cite No ¹	Cite -		Number Kind Code ² (if known)	- Publication Date MM-DD-YYYY			Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, V Relevant Passages or Rele Figures Appear	
		5,871,5	326		02/16/	1998	Gibb	s et al			
		5,879,3	329		03/09/	1999	Gins	burg			
		5,895,4	118		04/20/	1999	Sarir	iger			
		5,957,9	963		09/28/	1999		ık, III			
		5,972,0)13		10/26/	1999	Schn	nidt			
		5,980,5	61		11/09/	1999	Kole	n et al			
		5,989,2	238		11/23/1999			Ginsburg			
		6,019,7	6,019,783			02/01/2000		Philips et al.			
		6,051,0)19		04/18/2	2000		ak, III			_
		6,096,0)68		08/01/2			ak, III			
		6,206,0			03/27/2	2001		nidt et			_
		6,224,6	524		05/01/2	2001	Lash	eras e	t al.		
		6,231,5	595	B1	05/15/2			ak, III			
		6,235,0)48	B1	05/22/2	2001		ık, III			
		6,251,1		B1	06/26/2			ık, III			
		6,254,6	526	B1	07/03/2	2001	 -	ık, III			
		6,261,3	312	Bl	07/17/2		Dobak, III et al.		et al.		
		6,396,5	83 B1		05/28/2002		Clare				····
				· · · · · · · · · · · · · · · · · · ·							
				:							
		1			DREIGN P	ATENT DO	CUMEN	TS		Pages, Columns,	·
Examiner Initials*		Office ³			Kind ⁵ (if	Publicatio MM-DD-		Applicant of Cited		Lines Where Relevant Passages or Relevant Figures	T ⁶
		Office		Number (II know				Docum		Appear	
						1					

				FOREIGN PA	ATENT DOCUMEN	TS		
Examiner Initials*	Cite No ¹		Foreign Patent Documen	ıt	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number⁴	Kind ⁵ (if known)				
, , , , , , , , , , , , , , , , , , ,								
Examine	r					Date		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a

Considered

check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature